

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D. C. 20231

**R:**

Transmitted herewith for filing are the specification and claims of the patent application of

Katsuyuki YAMADA, Shinya NARUMI, Makoto HARIGAYA, Katsuhiko TANI,  
Noriyuki IWATA, Nobuaki ONAGI, Kazunori ITO, Takashi SHIBAGUCHI,  
Eiko HIBINO, Hajime YUZURIHARA, Hiroko OKURA, Akira SHIMOFUKU  
and Yuki NAKAMURA

for

OPTICAL INFORMATION RECORDING MEDIUM, METHOD OF MANUFACTURING  
THE OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD OF AND  
APPARATUS FOR RECORDING/REPRODUCING OPTICAL INFORMATION

Title

Enclosed are:

XX 17 sheets of            informal xx formal drawings.

Oath or declaration of Applicants.

A power of attorney.

An assignment of the invention to \_\_\_\_\_.

A Preliminary Amendment.

A verified statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27.

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	No. Filed		No. Extra*		Small Entity	Other		Small Entity	Other
Total Claims	32	-20 =	12	x	\$ 9	\$18	=	\$ -0-	\$ 216
Indep. Claims	6	- 3 =	3	x	\$40	\$80	=	\$ -0-	\$ 240
Multiple Dependent claims presented: Yes____ No <u>xx</u>									
Fee								\$ 355	\$ 710
Total Fee								\$	\$1,166

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xx A check in the amount of \$1,166 to cover the filing fee.

\_\_\_\_ Please charge Deposit Account No. 03-3125 in the amount of \$\_\_\_\_\_.

xx The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Account No. 03-3125.

xx Filing fees under 37 C.F.R. § 1.16.

xx Patent application processing fees under 37 C.F.R. § 1.17

\_\_\_\_ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

xx Three copies of this sheet are enclosed.

xx Applicants hereby claim priority under 35 U.S.C. § 119 based on previously filed foreign application No. 2000-297364, filed in Japan on September 28, 2000; No. 2000-310536, filed in Japan on October 11, 2000; No. 2000-367361, filed in Japan on December 1, 2000 & No. 2000-088516, filed in Japan on March 26, 2001.

\_\_\_\_ A certified copy of the aforementioned foreign application(s) is/are enclosed.

xx Other (identify) **Unsigned Declaration form.**

Respectfully submitted,

Christopher C. Dunham

Christopher C. Dunham

Reg. No. 22,031

Attorney for Applicants

c/o COOPER & DUNHAM LLP

1185 Ave. of the Americas

New York, New York 10036

Tel. (212) 278-0400

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Katsuyuki YAMADA et al.

Serial No.: not yet assigned

Filed : currently herewith

For : OPTICAL INFORMATION RECORDING MEDIUM, METHOD  
OF MANUFACTURING THE OPTICAL INFORMATION RECORDING  
MEDIUM, AND METHOD OF AND APPARATUS FOR RECORDING/  
REPRODUCING OPTICAL INFORMATION

EXPRESS MAIL LETTER OF TRANSMITTAL

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September 28, 2001

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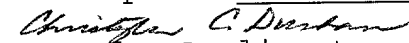
S I R:

I hereby certify that the above-identified Patent applica-  
tion (consisting of 127 pages, including 32 claims and an Ab-  
stract; 17 sheets of formal drawings; unsigned Declaration  
form, transmittal form with claim of priority, and fee check  
for \$1,166) is being deposited with the United States Postal  
Service "Express Mail Post Office to Addressee" service  
under 37 C.F.R. §1.10 on the date indicated below and is  
addressed to the Assistant Commissioner for Patents, Washing-  
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Date of Deposit: SEPTEMBER 28, 2001

  
Attorney for Applicants  
Christopher C. Dunham,  
Reg. No. 22,031  
COOPER & DUNHAM LLP  
Tel. (212) 278-0400